

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas A. Rhee

Serial No.: 09/776,379

Filed: February 2, 2001

Confirmation No.: 8077

For: REAL LIFE IMPLEMENTATION OF MODERN  
PORTFOLIO THEORY (MPT) FOR FINANCIAL  
PLANNING AND PORTFOLIO MANAGEMENT

Art Unit: 3693

Examiner: Patel, Jagdish

I hereby certify that this correspondence is  
being transmitted via electronic filing on the  
date indicated below to:Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

April 20, 2007

Rebecca Malden

Name

Signature *Rebecca Malden* 04/20/07

Signature

Date

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

This is the cover sheet for an amendment filed on April 17, 2007 in the above-identified application.

☒ Small entity status has been claimed. See 37 CFR § 1.27.☐ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NUMBER PREVIOUSLY PAID FOR		(Col. 3) PRESENT EXTRA*	LG/SM \$ ENTITY FEE	ADD'L FEE DUE
TOTAL CLAIMS FEE	39 [7]	-20	35	**	4	LG=\$50 SM=\$25	\$25
INDEPENDENT CLAIMS FEE	2	-3	3	***	0	LG=\$200 SM=\$100	\$100
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS						LARGE ENTITY FEE = \$360 SMALL ENTITY FEE = \$180	\$ 0
ADDITIONAL SIZE FEE (IF ANY) (TOTAL PAGES OF SPEC AND DRAWINGS TOGETHER)						\$250 FOR EACH ADDITIONAL 50 SHEETS	\$ 0
Independent claims 1, 7						TOTAL	\$ 100

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box on Col. 1 of a prior amendment or the number of claims originally filed.

☒ The Commissioner is hereby authorized to charge the Deposit Account No. 50-1314 \$100 to cover the additional claims fee is enclosed.☒ The Commissioner is hereby authorized to charge any deficiencies of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314.☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims☒ Any patent application processing fees under 37 C.F.R. § 1.17Respectfully submitted,  
HOGAN & HARTSON L.L.P.

Date: April 20, 2007

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